

Authority File Portal

CWS/9 Item 10(b): Publication updates of the Authority File Web Portal

WIPO Authority File Portal (1)

- An authority file is a complete list of patent documents published by an IP Office.
- WIPO ST.37 is the Standard which defines which data elements should form part of an authority file in one of two recommended formats : text and XML.
- Each IPO entry includes:
 - the authority file itself (TXT or XML file)
 - optional definition file (see Annex I)
 - data coverage of authority file (e.g., from 1990 onwards)
 - any relevant remarks (e.g., update frequency)

WIPO Authority File Portal (2)

- The Authority File Portal is available on the WIPO website as part of the WIPO Handbook [Part 7.14] at:
 - https://www.wipo.int/standards/en/authority_file.html
(English)
 - https://www.wipo.int/standards/fr/authority_file.html
(French)
 - https://www.wipo.int/standards/es/authority_file.html
(Spanish)

Progress on Authority File Portal

- WIPO ST.37 revision approved at CWS/8 was published in December 2020: changes to the XML schema/DTD.
- Two updates to the portal published since CWS/8: April and June 2021.
- Recently received updates will be published after CWS/9.
- Data coverage column now standardized format
- The current participants are shown on the next slide. The majority of Offices still provide their authority file data in TXT format but five Offices provide in XML format.

Current list of participants (24)



five IP offices

European Patent Office /// Japan Patent Office ///
Korean Intellectual Property Office ///
National Intellectual Property Administration, PRC ///
United States Patent and Trademark Office



Intellectual
Property
Office



ДЕРЖАВНЕ ПІДПРИЄМСТВО
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österreichisches
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PRV

SWEDISH PATENT AND REGISTRATION OFFICE



FINNISH PATENT AND REGISTRATION OFFICE



Australian Government

IP Australia



E - ПРИЈАВА



PATENT OFFICE OF THE
REPUBLIC OF POLAND



German Patent
and Trade Mark Office

Office de la Propriété Industrielle
Principauté de Monaco



Ministry of Economic Development

Important!

- Although the proposed revision to ST.37 includes three new indicators it is NOT yet mandatory for ISAs to include these new fields
- These are indicators for whether:
 - full text is available in searchable text format;
 - original abstract is available in searchable text format;
and
 - English language abstract is available in searchable text format
- However, these CAN already be included if the revision is approved at this session

Next Steps

- **February 2022:** International Bureau requests via Circular for Offices to provide annual update in March
- **Early 2022:** A guide supporting Offices to provide their authority files will be published next year
- **Reminder (1):** Some Offices neglected to update their authority files since their first submission. These should be updated at least annually. If updates are more frequent then these must also be sent to WIPO.
- **Reminder (2):** Offices are reminded that if they host their own authority file data, they must still provide update to data coverage and remark columns.

Questions?

- Any questions on WIPO Standard ST.37 or the Authority File portal can sent to WIPO via: standards@wipo.int