

## **Committee on WIPO Standards (CWS)**

**Seventh Session**  
**Geneva, July 1 to 5, 2019**

### **QUESTIONNAIRE ON NUMBERING OF PUBLISHED DOCUMENTS AND REGISTERED RIGHTS**

*Document prepared by the International Bureau*

#### **INTRODUCTION**

1. At its reconvened fourth session in 2016, the Committee on WIPO Standards (CWS) agreed on the creation of Task No. 50: “Ensure the necessary maintenance and update of surveys published in Part 7 of the WIPO Handbook on Industrial Property Information and Documentation”. The CWS also established a corresponding Task Force (Part 7 Task Force). The International Bureau was designated as the Task Force Leader. (See paragraphs 73 and 122 (e) of document CWS/4BIS/16.)

2. At its sixth session, the CWS requested the Part 7 Task Force to prepare a proposal to update Part 7.2.2 of the WIPO Handbook with a questionnaire on numbering of published documents and registered rights and to present the proposal for consideration at its seventh session. The Committee noted that the questionnaire should cover current and former practices of numbering of published documents and registered rights. (See paragraph 160 of document CWS/6/34.)

#### **DRAFT QUESTIONNAIRE**

3. The Part 7 Task Force carried out one round of discussion on the draft questionnaire, since it is only intended to update the existing information in Part 7.2.2 of the WIPO Handbook. Further, as the items in Part 7.2.2 on application numbering have been superseded by Parts 7.2.6 and 7.2.7, the only remaining information concerns publication and registration numbers. The Task Force presents a final draft questionnaire for consideration by the CWS as reproduced in the Annex to this document, to conduct the survey of IPOs.

4. *The CWS is invited to:*

*(a) note the content of the present document;*

*(b) consider and approve the draft questionnaire, as reproduced in the Annex to this document;*

*(c) request the Secretariat to issue a circular inviting IPOs to participate in the survey, as referred to above; and*

*(d) request the International Bureau to present the results of the survey referred to in paragraph 3 above for consideration at its eighth session.*

[Annex follows]

## DRAFT QUESTIONNAIRE ON NUMBERING SYSTEMS USED BY INDUSTRIAL PROPERTY OFFICES

### INTRODUCTION

1. Publication and registration numbers are assigned by Industrial Property Offices (IPOs) in order to identify each publication or registration document issued by the IPO. They are used by applicants and other offices to reference specific documents. It is important that IPOs, applicants, and users of IP information are always able to identify publication and registration numbers in a unique, clear, and unambiguous manner.
2. At the fifth session of the Committee on WIPO Standards (CWS/5), the Committee noted the Part 7 Task Force's tentative work plan to update the surveys in Part 7 of the WIPO Handbook. This includes updating the information on publication and registration numbering systems, which is accomplished by the present survey.
3. Information on application numbering systems is provided by [Part 7.2.6](#) and [Part 7.2.7](#) of the WIPO Handbook.

### BACKGROUND DOCUMENTS

4. The following [WIPO Standards](#) are relevant to this survey:
  - ST.6: Numbering of published patent documents
  - ST.13: Numbering of applications for IPRs
5. [Part 7.2 “Numbering systems” of the WIPO Handbook](#) could also be helpful when you prepare your response to this questionnaire. In particular, [Part 7.2.2](#) contains responses from the previous survey on this topic in 2001.

### INSTRUCTIONS

6. For each type of right that your Office provides, please fill in the chart below for each publication or registration numbering system in use since 1970. If you plan to use other numbering systems in the future, please include them also.
7. In particular, please note the following:
  - The columns “From” and “Until” should contain the dates that the system was used in WIPO ST.2 format. If precise dates are not available, please list the year.
  - If a numbering system is currently in use, put "present" in the “Until” column.
  - If a numbering system is not yet in use, put the expected start date in the “From” column if known, otherwise leave blank. Leave the “Until” column blank.
  - In the Explanation column, please note what the numbering system is used for (publication, registration, or both) and explain the meaning of the format.
  - Only one numbering format should be provided per row. Add as many rows as required to cover your numbering systems.
  - Common types of Intellectual Property (IP) rights are listed in the table. If your Office issues a type of IP right not listed, please add it to your answers.

Examples

Type of Right	Sample Publication or Registration Number	From	Until	Explanation of format
Patents for invention	400 770	2000-06-01	present	Publication numbers are given by consecutive series number allotted in ascending order.
Patents for invention	E 129 079	2003-04-01	present	The publication number for a translation of a European patent specification. Such publication numbers consist of the letter E followed by a serial number allotted in ascending order.
Industrial Designs	AP/D/00049	1970-01-01	1991-01-01	Registration numbers are given as a continuous series. The letter D indicates a registered industrial design.
Industrial Designs	AU-S-126223	2022-01-01		Registration number consisting of the country code, a dash, the publication code S, a dash and a six-digit serial number. Intended for use starting in 2022.

RESPONSES

Question 1.

8. For each type of right that your Office provides, please fill in the chart for each publication or registration numbering system in use since 1970. If you plan to use other numbering systems in the future, please include them also. See above for Instructions.

Type of Right	Sample Publication or Registration Number	From	Until	Explanation of format
Patents for invention				
Utility models				
Supplementary protection certificates (SPCs)				
Plant patents				
Design patents				
Industrial designs				
Topographies of integrated circuits				
Trademarks				

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